

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
	:	Atty Dkt No.: 003433.00096
Subhra BOSE et al.	:	
	:	
Serial No.: 10/560,285	:	
	:	
Filed: December 12, 2005	:	
	:	
For: BUSINESS PROCESS AUTOMATION	:	

PETITION UNDER 37 C.F.R. § 1.47(a)

Commissioner of Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

This submission is being filed on the same date as a response was filed in the United States Designated/Elected Office (DO/EO/US) for the referenced application to the Notification of Missing Requirements Under 35 U.S.C. § 371 dated January 31, 2007 (Missing Requirements Response).

The Assignee of the referenced patent application, Reuters America, Inc., 3 Times Square, 20th Floor, New York, NY 10036, and signed joint inventors Steve Scimone, Nallan Sriraman, Arthur Bernstein and Philip Lewis hereby petition to act as the Rule 47(a) Applicants for nonsigned joint inventors Subhra Bose, Ziyang Duan and Radu Grosu in the above-referenced application for the reasons outlined below.

The requirements for a grantable petition under 37 C.F.R. § 1.47 (a) requires (1) the requisite fee under 37 CFR §1.17(g) (\$200), (2) an oath or Declaration executed by the available joint inventor(s), (3) the last known address of the nonsigned inventor(s), and (4) a petition

including proof of the pertinent facts establishing that the joint inventor(s) refuses to join or cannot be found or reached after diligent effort.

(1) Fee

Please charge our Deposit Account Number 19-0733 the fee in the amount of \$200.00 for the filing of this petition pursuant to 37 C.F.R. § 1.17(g) and any other fee that is necessary.

(2) Executed Declarations for the signed inventors

The executed declarations for signed joint inventors Steve Scimone, Nallan Sriraman, Arthur Bernstein and Philip Lewis were submitted on the same date, August 31, 2007, to the United States Patent and Trademark Office along with the Missing Requirements Response.

(3) Last known addresses

A. Subhra Bose

(Address 1)

88 Parkway Dr.

Hauppauge, NY 11788-2012

(Address 2)

78 New Chalet Drive #4

Mohegan Lake, NY 10547

B. Ziyang Duan

(Address 1)

38 Crown Acres Rd.

Centereach, NY 11720-1704

(Address 2)

32 West Ave.

Hicksville, NY 11801-4620

C. Radu Grosu

(Address 1)

32 Neptune Road

Rocky Point, NY 11778

(Address 2)

Stony Brook University

Computer Science Department

1425 Computer Science Building

Stony Brook, NY 11794-4400

(4) Proof of inability to reach inventors after diligent effort

A. SUBHRA BOSE

On Feb. 8, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were delivered to the address noted above in Hauppauge, NY, to the attention Subhra Bose and signed for by M. Pilz. (Exhibit A). The cover letter for the Feb. 8 delivery asked Mr. Bose to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit B).

On April 7, 2006, the undersigned obtained an apparently updated address for Mr. Bose from a background report on Mr. Bose provided by Intelius (Exhibit C). On April 10, 2006, the complete patent application, the Declaration, and the U.S. Assignment document were delivered to his attention at the address noted above in Mohegan Lake, NY, and signed for by R. Bose (Exhibit D). The cover letter for the April 7 delivery also asked Mr. Bose to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Bose and to contact him via telephone, but has been unsuccessful in locating updated address information or a correct telephone number. Both addresses noted above continue to show up as current addresses in background searches (latest search performed on August 31, 2007). As of August 31, 2007, the undersigned has not received any communication from Mr. Bose.

B. ZIYANG DUAN

On Feb. 7, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were sent to the address noted above in Centereach, NY, to the attention of Ziyang Duan via Federal Express, but were returned to the undersigned as being an incorrect address for Mr. Duan. (Exhibit E). The cover letter for the Feb. 7 mailing asked Mr. Duan to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit F).

On April 7, 2006, the undersigned again sent the complete patent application, the Declaration, and the U.S. Assignment to the address noted above in Centereach, NY, to the

attention of Ziyang Duan via certified U.S. mail with a request to forward the mailing to a forwarding address, if available. The April 7 mailing was also returned to the undersigned as being the incorrect address and no forwarding address was indicated as being available.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Duan and to contact him via telephone, but has been unsuccessful in locating updated address information until recently or a correct telephone number. The Hicksville, NY, address noted above appeared as a potential updated address for Mr. Duan in a background search performed on August 31, 2007. (Exhibit G). As of August 31, 2007, the undersigned has not received any communication from Mr. Duan.

C. RADU GROSU

On Feb. 7, 2006, the complete patent application, a Declaration, and a U.S. Assignment document were sent to the address noted above in Rocky Point, NY, to the attention of Radu Grosu via Federal Express, but were returned to the undersigned as being undeliverable ("Recipient was not in when we attempted delivery"). (Exhibit H). The cover letter for the Feb. 7 mailing asked Mr. Grosu to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned. (Exhibit I).

On March 8, 2006, the undersigned obtained a business address for Mr. Grosu at Stony Brook University where he was and currently is listed as a member of the faculty. (Exhibit J). On March 9, 2006, the complete patent application, the Declaration, and a U.S. Assignment document were delivered to the receptionist at the address noted above at Stony Brook University in Stony Brook, NY to the attention of Mr. Grosu and signed for by K. Germana (Exhibit K). The cover letter for the March 9 delivery also asked Mr. Grosu to review the application, sign the Declaration and Assignment documents, and return the executed documents to the undersigned.

The undersigned has attempted numerous times since June 2006 through August 31, 2007, to obtain updated contact information for Mr. Grosu, but has been unsuccessful in locating updated address information. However, the undersigned has called Mr. Grosu several times since June 2006 through August 31, 2007, at his business number at Stony Brook University (631-632-9801) and has left voice messages (note that Mr. Grosu's business telephone would not accept messages during the summer of 2007 through Aug. 31, 2007 due to the mail box being

full). The addresses noted above continue to show up as current addresses in background searches and the web site for Stony Brook University (latest searches performed on August 31, 2007). As of August 31, 2007, the undersigned has not received any communication from Mr. Grosu.

Conclusion


Applicants respectfully submit that sufficient proof has been provided of diligent efforts to reach nonsigning joint inventors Subhra Bose, Ziyang Duan and Radu Grosu. Accordingly, the Assignee and signing joint inventors of the referenced patent application petition to act as the Rule 47(a) Applicants for nonsigning joint inventors Subhra Bose, Ziyang Duan and Radu Grosu in the above-referenced application.

The undersigned, on behalf of the Assignee and the signing inventors, hereby petitions to proceed with the application in the name of the Assignee and the signing inventors.

Respectfully submitted,

Dated: August 31, 2007

By:



Anthony W. Kandare, reg. no. 48,830

BANNER & WITCOFF, LTD.
1100 13TH Street, NW
Suite 1200
Washington, D.C. 20005-4051
(202) 824-3244

EXHIBIT A

Express



FedEx | Ship Manager | Label 7908 0508 0580

Page 1 of 1

From: Origin ID: (202)508-9188
Donna Hobert
Banner & Wilcoff
1001 G St. NW Suite 1100
Washington, DC 20001



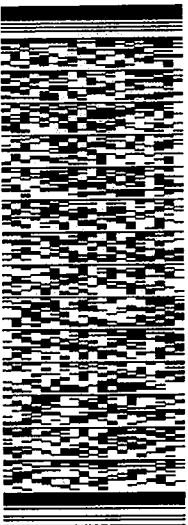
CLSR12206/418

SHIP TO: (202)824-3244
Subhra Bose

BILL SENDER

88 Parkway Drive

Hauptpauge, NY 11788



Ship Date: 07FEB06
ActWgt: 1 LB
System#: 58226981NET2400
Account#: S *****

REF: 003433.00096



Delivery Address Bar Code

PRIORITY OVERNIGHT

WED

TRK# 7908 0508 0580

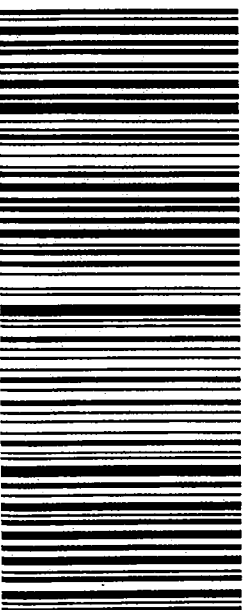
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AA

11788 -NY-US
DSR

Z5 NWSA



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Detailed Results

Tracking number	790805080580	Reference	003433.00096
Signed for by	M.PILZ ✓	Destination	Hauppauge, NY
Ship date	Feb 7, 2006	Delivered to	Shipping/Receiving
Delivery date	Feb 8, 2006 9:45 AM	Service type	Priority Pak
		Weight	1.0 lbs.

Status Delivered

Signature Proof of Delivery

Click **Request copy of signature** to view delivery information for this shipment.

Signature Image

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Date/Time	Activity	Location	Details	
Feb 8, 2006	9:45 AM	Delivered	Hauppauge, NY	
	8:52 AM	On FedEx vehicle for delivery	RONKONKOMA, NY	
	8:26 AM	At local FedEx facility	RONKONKOMA, NY	
	4:06 AM	Arrived at FedEx location	OAKLAND, CA	
	1:38 AM	Departed FedEx location	NEWARK, NJ	
Feb 7, 2006	12:24 AM	Arrived at FedEx location	NEWARK, NJ	
	8:54 PM	Left origin	WASHINGTON, DC	
	7:58 PM	Picked up	WASHINGTON, DC	
	10:47 AM	Package data transmitted to FedEx		

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Email address	Language	Exception updates	Delivery updates
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>

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1001 G STREET, N.W.
WASHINGTON, D.C. 20001-4597

TEL: 202-692-6000
FAX: 202-692-6001
www.bannerwitcoff.com

EXHIBIT B

Anthony W. Kandare
Direct Dial: (202) 824-3244
akandare@bannerwitcoff.com

February 7, 2006

Subhra Bose
88 Parkway Drive
Hauppauge, NY 11788

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Subhra:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

CHICAGO

WASHINGTON, D.C.

BOSTON

PORTLAND, OR.

EXHIBIT C

Member Status: Basic akandare@bannerwitcoff.com [Sign Out]
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People Search

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Background Check

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Identity Theft

[Email This Report To akandare@bannerwitcoff.com](mailto:akandare@bannerwitcoff.com)**Your Reports:**

Products	Order No.	Reports Used	Reports Left	Expiration Date
24 Hour Pass - Search Now	5123282	2	Unlimited	04/08/2006 10:46 AM

PEOPLE SEARCH REPORT - 1 Records Found**PREMIUM CONFIRMATION SERVICE**

- Each of the **Addresses & Phone Numbers** listed in the report below were **verified against public utilities**.
- All addresses and phone numbers WITHOUT a verified resident and current phone connection are NOT highlighted with red text. Some addresses and phone numbers may still be current but not confirmed via public utilities.

Name	Additional Reports	Approx Age	Birth Date	Address/Phone	Neighborhood
1 SUBHRA BOSE	Background Report	36	-	78 NEW CHALET DR #4 MOHEGAN LAKE, NY 10547	Avg. Income \$71,875 Avg. House Price \$198,200

Name & Address | Social Security Number

First Name	MI	Last Name	State
Subhra		Bose	New York
Current or Previous Address		City	
78 NEW CHALET DR #4		MOHEGAN LAKE	

Not Required. Help us narrow down results by providing current or previous address



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Donna Holbert**EXHIBIT D**

From: TrackingUpdates@fedex.com
Sent: Monday, April 10, 2006 12:34 PM
To: Donna Holbert
Subject: FedEx Shipment 790386310721 Delivered

Our records indicate that the following shipment has been delivered:

Tracking number:	790386310721
Reference:	003433.00096
Ship (P/U) date:	Apr 7, 2006
Delivery date:	Apr 10, 2006 11:49 AM
Sign for by:	R.BOSE
Delivered to:	Residence
Service type:	FedEx Priority Overnight
Packaging type:	FedEx Pak
Number of pieces:	1
Weight:	1.0 LB

Shipper Information	Recipient Information
Donna Holbert	Subhra Bose
Banner & Witcoff	78 New Chalet Drive #4
1001 G St. NW Suite 1100	Mohegan Lake
Washington	NY
DC	US
US	10547
20001	

Special handling/Services:
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Residential Delivery
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Thank you for your business.

4/10/2006

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**EXHIBIT E**

Tracking number	790805022852	Reference	003433.00096
Ship date	Feb 7, 2006	Destination	Centereach, NY
		Service type	Priority Pak
		Weight	1.0 lbs.

Status Delivery exception

Date/Time	Activity	Location	Details
Feb 15, 2006	11:18 AM Package returned to shipper	RONKONKOMA, NY	Return tracking number: 790323442059
Feb 14, 2006	12:10 PM At local FedEx facility	RONKONKOMA, NY	
Feb 13, 2006	9:08 AM At local FedEx facility	RONKONKOMA, NY	
Feb 11, 2006	8:02 AM At local FedEx facility	RONKONKOMA, NY	
Feb 10, 2006	11:47 AM At local FedEx facility	RONKONKOMA, NY	
Feb 9, 2006	9:16 AM At local FedEx facility	RONKONKOMA, NY	
Feb 8, 2006	5:40 PM At local FedEx facility	RONKONKOMA, NY	
	10:35 AM Arrived at FedEx location	MEMPHIS, TN	
	9:35 AM Delivery exception	RONKONKOMA, NY	Incorrect address
	8:55 AM On FedEx vehicle for delivery	RONKONKOMA, NY	
	8:15 AM At local FedEx facility	RONKONKOMA, NY	
	4:06 AM Arrived at FedEx location	OAKLAND, CA	
	1:38 AM Departed FedEx location	NEWARK, NJ	
	12:24 AM Arrived at FedEx location	NEWARK, NJ	
Feb 7, 2006	8:54 PM Left origin	WASHINGTON, DC	
	7:58 PM Picked up	WASHINGTON, DC	
	10:17 AM Package data transmitted to FedEx		

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Tracking number	790323442059	Reference	RTS 790805022852
Signed for by	F.WILSON	Destination	WASHINGTON, DC
Ship date	Feb 15, 2006	Delivered to	Receptionist/Front Desk
Delivery date	Feb 16, 2006 9:13 AM	Service type	Express Saver Pak
		Weight	1.0 lbs.

Status	Delivered
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Signature Image

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Date/Time	Activity	Location	Details
Feb 16, 2006	9:13 AM	Delivered	WASHINGTON, DC
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	7:54 AM	At local FedEx facility	WASHINGTON, DC
	6:55 AM	At dest sort facility	DULLES, VA
	3:52 AM	Departed FedEx location	MEMPHIS, TN
	12:37 AM	Arrived at FedEx location	MEMPHIS, TN
Feb 15, 2006	8:14 PM	Left origin	RONKONKOMA, NY
	10:52 AM	Picked up	RONKONKOMA, NY
	10:01 AM	Package data transmitted to FedEx	

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Select format: ☒ HTML ☐ Text ☐ Wireless

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1001 G STREET, N.W.
WASHINGTON, D.C. 20001-4597

TEL:
FAX:
WWW

EXHIBIT F

Antho

Direct Dial: (202) 824-3244
akandare@bannerwitcoff.com

February 7, 2006

Ziyang Duan
38 Crown Acres Road
Centereach, NY 11720

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Ziyang:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

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BOSTON

PORTLAND, OR.

PEOPLE FINDER UTILITY HOOK-UP RECORD

Information Current 08-10-2007
Through:
Database Updated: 08-23-2007
Update Frequency: MONTHLY
Current Date: 08/31/2007
Source: Data by InfoUSA, Copyright 2007, All Rights Reserved

HEAD OF HOUSEHOLD INFORMATION

Name: ZIYANG DUAN
Age Range: XX-XX
08/22/2007 **Address:**
32 WEST AVE
HICKSVILLE, NY 11801-4620 **County:**
NASSAU

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- ☐ Recipient was not in when we attempted delivery and we were not authorized to leave shipment without a signature.
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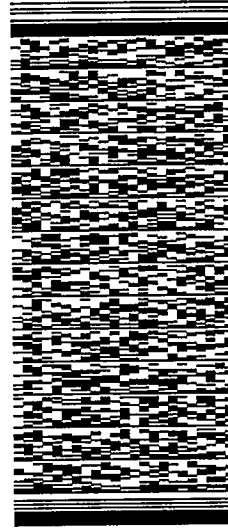


CL591115506/10

SHIP TO: (202) 508-9188 BILL RECIPIENT

DONNA HOLBERT/RETURN
BANNER & WITCOFF
1001 G ST. NW SUITE 1100

WASHINGTON, DC 20001



FedEx
Express

Ship Date: 1/FEB/06
Actual Wgt: 1.0 LB MAN
System#: 507815/CAFE2284
Account#: S *****

REF: RTS790313269520



Delivery Address Bar Code

EXPRESS SAVER PACKAGE

WED

Deliver By:
22FEB06

FORM
0201

TRK# 7103 1689 1611

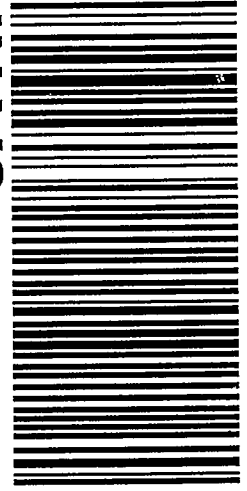
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20001 -DC-US

SAN HKA

EXHIBIT H





BANNER & WITCOFF, LTD.
INTELLECTUAL PROPERTY LAW

1001 G STREET, N.W.
WASHINGTON, D.C. 20001-4597

TEL:
FAX:
WWW:

EXHIBIT I

Antho

Direct Dial: (202) 624-3244
akandare@bannerwitcoff.com

February 7, 2006

Radu Grosu
32 Neptune Road
Rocky Point, NY 11778

VIA FEDERAL EXPRESS

Re: U.S. National Phase Patent Application No. 10/560,285
Based on PCT/US2004/018435, filed 10 June 2004
Entitled: BUSINESS PROCESS AUTOMATION
Named inventors: Subhra Bose et al.
Applicant: Reuters America, Inc.
Our Reference no. 003433.00096

Dear Radu:

You are listed as one of the inventors on the above referenced patent applications. As outside counsel for Reuters, we need to confirm that you contributed to the conception of at least one of the pending claims in these applications and, thus, should be listed as an inventor on them. If so, we need to obtain your signature on the enclosed Declaration and Assignment forms as soon as possible so as to perfect the filing of the patent applications.

The applications are identical, as the U.S. application is simply a copy of the international patent application as filed in the United States. Thus, we have only included a copy of the parent international patent application as published for your review.

Please review the enclosed application and your residence and citizenship information listed on the attached Declaration and Assignment forms. After doing so, please sign and date the Declaration and Assignment forms, and return the signed documents to me in the enclosed Federal Express envelope.

If you have any questions, or believe you should not be listed as an inventor on these applications, please contact me on 202-824-3244 as soon as possible.

Sincerely,

Anthony W. Kandare

AWK/dah
Enclosures

CHICAGO

WASHINGTON, D.C.

BOSTON

PORTLAND, OR.

Search Result of First name:"Radu", Last name:"Grosu" & Status: "Any"

EXHIBIT J**Radu Grosu**

Status Faculty
E-mail Address Radu.Grosu@stonybrook.edu
MailBox RGROSU@notes.cc.sunysb.edu
Department Computer Science
Campus Address 1425 Computer Science Building, Stony Brook, NY 11794-4400
Phone 631-632-9801

[Close Window](#)Track Shipments
Detailed Results**EXHIBIT K**

Tracking number	792680312109	Reference	003433.00096
Signed for by	K.GERMANA	Destination	Stony Brook, NY
Ship date	Mar 8, 2006	Delivered to	Receptionist/Front Desk
Delivery date	Mar 9, 2006 9:53 AM	Service type	Priority Pak
		Weight	2.0 lbs.

Status Delivered

Signature Proof of Delivery

Click **Request copy of signature** to view delivery information for this shipment.

Signature Image

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Date/Time	Activity	Location	Details
Mar 9, 2006	9:53 AM	Delivered	Stony Brook, NY
	8:42 AM	On FedEx vehicle for delivery	RONKONKOMA, NY
	7:56 AM	At local FedEx facility	RONKONKOMA, NY
	3:51 AM	Departed FedEx location	NEWARK, NJ
	1:41 AM	Arrived at FedEx location	NEWARK, NJ
Mar 8, 2006	9:08 PM	Left origin	WASHINGTON, DC
	7:44 PM	Picked up	WASHINGTON, DC
	3:29 PM	Package data transmitted to FedEx	

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<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	English	<input type="checkbox"/>	<input type="checkbox"/>

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By selecting this check box and the Submit button, I agree to these [Terms and](#)

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